The emergence of agentive systems in core argument marking

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It has been proposed that core argument categories (sometimes referred to as 'alignment') are highly stable over time and strongly resistant to borrowing (Nichols 1992: 181). The proposal certainly seems reasonable. Categories such as deeply embedded in the grammar, encoded morphologically by case markers or pronominal shape. They are typically distinguished in every clause. Evidence subjects and objects, ergatives and absolutives, or agents and patients are often pointing to stability can be found in a number of language families. In North ilies, each with a probable time depth of thousands of years, show clear agentive patterns. The agentive patterns may be older than the families themselves: it has America, for example, all languages of the Iroquoian, Caddoan, and Siouan fambeen hypothesized that these three families might be remotely related to each other, with a common parent older than Proto-Indo-European (Latham 1860, Chafe 1976: 43-53).

Parallels in core argument categories have even been taken as diagnostic of deep genetic relations. During the first half of the 20th century, when Edward Sapir was attempting to group the recognized language families of North America into larger superstocks, he made just such a suggestion to Alfred E. Kroeber.

Sapir 1920 letter to Kroeber (Golla 1984: 349; emphasis added)

The interest [of polysynthesis] is psychological rather than historical. To me, it is worth less than such an obscure feature as prevalence of stems with initial vowel...or classification of pronouns into transitive and intransitive vs. active and static (D [Penutian] is characterized by the former, F [Hokan-Siouan] by the latter).

Hokan-Siouan

V. 'Siouan-Yuchi	ntal) 1. Siouan	2. Muskogi-Natchez	3. Yuchi	4. Tunica-Chitimac
I. Hokan proper	(Shasta down to Seri, Chontal)	Yuki	Coahuiltecan	IV. Keres
ij	i	ij	Ϊ	Ν.

Tunica-Chitimacha-Atakapa

stock show agentive or active/stative argument patterns, though certainly not all. It should be noted that the hypothesis of a Hokan-Siouan superstock has since been A number of the languages grouped together by Sapir in his Hokan-Siouan superabandoned, and the existence of a Hokan group remains controversial (Goddard 1996: 308–16, Campbell 1997: 290–305, Mithun 1999: 303–4).

California, on the Northwest Coast, and in the Southeast. It thus provides a good testing ground for the hypothesis of genetic stability and resistance to areal Here we will examine the stability of core argument categories by considering the distribution of agentive systems in North America. Such systems are relatively rare cross-linguistically. Nichols (1992: 187) found that nominative/accusative or neutral systems occurred in 65 percent of the 172 languages in her genetically and areally balanced sample, ergative systems in 19 per cent, and agentive or stative/active systems in just 14 per cent. Within North America, however, she found agentive systems in nearly a third (31 per cent) of the languages sampled. North America is characterized by great genetic diversity, with around 300 i.e. the largest genetic units considered established on the basis of the comparative method. It also contains some strong linguistic areas, particularly in languages grouped into 58 distinct language families in the traditional sense—

12.1 Northern California I

California alone shows substantial diversity: 22 of the 58 language families north of Mexico are represented within its borders. Agentive systems occur in two areas in Northern California.

12.1.1 Yuki

Material cited here comes from Kroeber (1911), Sawyer and Schlichter (1984), and Schlichter (1985). Forms from Kroeber are identified by the initial K. Forms from Sawyer and Schlichter are identified by the initials of the speakers: Arthur Yuki consists of three closely related languages or dialects: Coast Yuki, Yuki Proper, and Huchnom (Mithun 1999: 574-6). All show the same core argument categories. Anderson (A) and Minnie Fulwider (F). Numbers following the initials refer to the pages on which they are cited.

In Yuki, the grammatical roles of participants are expressed primarily in independent pronouns.

2SG 1SG fish give-PAST mi? ?i: hqw ča:ne-we (2) Yuki pronouns

F244

There are three sets of pronouns.

'You gave me a fish.'

marking
e argument
in core
systems
Agentive

Yuki pronoun forms

3

65

		Set I		Set II	Set III	
	18G	Sap		21:	Pit	
	28G	me2/mi?	mi?	mis	me:ta?	
	1EXCL,PL	.PL ?us		Pusa	Pusat	
	1INCL.PL	.PL mi: / me:	me:	miya / meya		
	2PL	m0?0	mo?os/mos	тогоѕіуа		
Ex	amples o	f the uses c	of each s	set are in (4)	Examples of the uses of each set are in (4), (5), and (6).	
4	Yuki S	(4) Yuki Set I pronouns	uns			
	Pap	Pap lis k'an la Paktekb	n la?akı		<u>'I</u> talked fast.'	A111
	18G	kawaye 2ap munmek	unuu di		Tm going to steal the horse?	Α111
	me?	Pimpis me? ko?omha?	? ko?on	2	Where do you come from?	4244
	286	mi? Pi: hqw ča:newe	w ča:ne		You gave me a fish.	F 244
(5)	Yuki S	(5) Yuki Set II pronouns	SUL			
}	23:	Vimo. co 2: hour Kannel	house Ka		,	
		AMMA 36 14.	मध्येण द्वा		incy gave me a fish.	F133
		kıma se ri:motmilmek.	notmile		'He's going to gamble with me.'	F133
	mis	kima-se mis hąw ča:nmek	s hqw ča		'They'll give you a fish.	F2.4.4
	28G	Piye misyu:tha	tha	M,	'What's the matter with you?'	F244
(77.1.50	11.	•		,	
9	ruki ye	et III pronouns: obli	uns: ob	(b) Tuki Set III pronouns: obliques and possessives	oossessives	

As in many languages, 3rd person pronouns are relatively infrequent in speech: continuing 3rd person topics are not re-identified pronominally in every clause.

A244

'Smoking is bad for you.' 'He left before me.'

me:tq?woyol' ?o:t hqč. Pi:thu Pit ki Pla Paktek.

me:ta?

A133

(7) Unmentioned 3rd persons

A24	A106	
a nu:mu pat-ek. frohread bake-neor	(She)'s making frybread.' b me? t'uk tql-tel	you hit NEG-IMPERATIVE 'Don't hit (him)!'

The 3rd person pronouns are used for emphasis or contrast. They are derived from the demonstrative ki? 'that, there'. (8) Yuki basic 3rd person forms Set II Set I

kima:set/kima:sqt Set III ki?at kima:sq ki?a kima:se ki? 3PL 38G

36

pronouns objects. But some participants that English speakers would encode as subjects are referred to with Yuki Set II pronouns, with both transitive and The Set I pronouns might appear at first to represent subjects and the Set II intransitive predicates.

(9) Yuki Set II with intransitives

F187	A109	A88 A21	F24	A17	A17	A159	A133	F102	A190	F37
'I'm sick' 'you're sick' 'fle's sick' 'we're sick'	$\Gamma m hungry$	$\underline{\underline{I}}$ m too rull of food $\underline{\underline{I}}$ feel ashamed	' <u>I</u> am unlucky, have bad luck'	' <u>I</u> have a sore knee'	' <u>I</u> 've got a toothache'	' <u>I</u> am phlegmatic'	'I can't shoot'	' <u>r</u> m happy	' <u>I</u> 'm sleepy	' <u>I</u> have a fever'
hilyu? hilyu? hilyu? hilyu?	hamlo Potek	намау к1.riptiк t'olo?otek	mika ?ač mi:tek	k'an'k ți:hiš	šąk šąšek	lo:kati: ?a:?atek	mat hąkimlek	hu:šlek	Pinha:mek	k'altlek
<u>Pi:</u> mis ki?q Pusa	:ii:	13: 12	<u>71:</u>	<u>21:</u>	<u>?i:</u>	<u>?i:</u>	<u>?i:</u>	?;;	<u>77:</u>	šul <u>?i:</u>

Yuki Set II with transitives. (10)

a. <u>?i:</u> mis hal-ek 1.11 256.11 hear-DECL 'I hear you.' b. <i>?in-k'u?un-at</i> ?i: hu:3l-ek my-father-POSS 156.11 love-DECL 'I love my father.' c. ?i: nqk ?u?ul-ek 156.11 dark fear-DECL
72: 11 11 11 11 11 11 11 11 11 11 11 11 11
e to

'be happy,' be sleepy,' have a fever,' love, 'be afraid of'). Further examples Sets I and II do not represent subjects and objects after all. From the examin which the choice between the two pronominal sets is triggered by aspect 'steal, 'come,' give, 'gamble, 'leave,' 'hit'), while Set II pronouns appear with states ('be sick', 'be hungry', 'be full of food', 'be ashamed', 'be unlucky', 'have a sore knee,' have a toothache,' 'be phlegmatic,' 'be unable to shoot,' show, however, that aspect is not criterial. Set I pronouns also appear with ples seen so far, we might hypothesize that this is an active/stative system, or Aktionsart. Set I pronouns appear with activities and other events ('talk',

Agentive systems in core argument marking

(E)	(11) Yuki Set I pronouns with states: ?4p 'l'	es: <i>2ap</i> 'I'	
	mąl hu:yap <u>Jap</u> mehek	'I'm in the middle of the creek.'	F26
	Pap t:tamap no Pohek	'I'm living on the mountain.'	Am
	ka J <u>ap</u> Ponk'e šatk'e šu Puhek	'I'm sitting here on the cold ground.'	F188

Set II pronouns also appear with actions and other events.

(12)	Yuki Set II pronouns with events: ?: Y	th events: ?: 'I'	
ત્યં	Pi: p'a:nessuyitwičk	'I fell down'	H-18
	?i: k'aptek	'I choked'	1/C F ₄ /
	<u>?i:</u> po?ok	' <u>I</u> got burned'	F27
	?i: Potom hiltek	T burped'	43/ A28
	?i: yičmik	'I tremble'	oc. A
	?i: k'awtek	'I yawned'	A 2 42
	?i: č'a:htek	T sweated,	A122
	?i: nahumtek	'I forgot'	A 8-7
	?i: hilyu:tek	'I got sick'	F187
	Pi: hwilumek	' <u>I</u> am getting tired'	A210
Ъ.	?i: kuči na?amt-ek)	478
	18G.11 knife lose-DECL		0717
	'I lost my knife.'		
ن	kope mela <u>?i:</u> k'i:pt-ek	i:pt-ek	A 71
	coffee too.much 1sg.11 overconsume-DECL	verconsume-DECL	- /
	'I drink too much coffee.'		

The categories are based on the semantic roles of core participants, forming what can be termed an agent/patient or agentive pattern. The Set I pronouns represent grammatical agents, participants who instigate or control events like 'talk' or 'steal,' or states like 'be sitting' or 'be living (somewhere).' The Set II pronouns represent grammatical patients, those who are not in control but are significantly affected. They may perform actions ('belch', 'yawn'), but they do not control them. A basic pronoun set is lexicalized with each verb. Connected speech shows little evidence of on-line judgments about degrees of control or affectedness.

Speakers can, however, choose not to portray affectedness. The grammatical agent pronouns (Set I) are the unmarked, default choice. Examination of the textual and dictionary material that exists indicates that control or ers might be expected to have a keener sense of what they themselves are feeling, of their own control and affectedness, and more right to affectedness is more often specified for 1st persons (or for 2nd persons in questions) than for third. This prevalence is not entirely surprising. Speakexpress it.

A124 A124 A53 'I (PATIENT) learned to dance' 'he (agent) learns slowly' 'he (AGENT) coughed' 'I (PATIENT) coughed' Yuki choices about portraying affectedness wok ?i: nąnakląmek (ki?) nanak lo:t Pi: lohwištek (ki?) lohtek (13)

(a neighbouring group), 'Jackrabbit', and 'Coyote', the trickster protagonist of numerous tales (Kroeber 1911: 355, 375-6, Schlichter 1985; 57). The case marking on control, moving involuntarily to snap or hearing something, the patient suffix -q Case is also marked on some lexical nouns in Yuki, all of them referring to human beings or personified animals, such as 'woman,' imy father,' 'Wailaki' nouns also follows an agentive pattern, with grammatical agents unmarked and grammatical patients carrying the suffix -q. The alternation between unmarked agent nouns and suffixed patient nouns can be seen in the extracts below from a text by comparing the nouns referring to Coyote. When Coyote is in control, allowing himself be found or watching, the noun is unmarked. When he is not in

- Yuki text extract (Kroeber 1911: 375-8) (14)
- and now that Coyote now find-CAUS-REFL-PAST 'And now Coyote made himself be found... saéi haye ki hulk'ói ei haye t'a-sa-tl-mil.
 - saéi hulk'ói náu-mil. Ь.

and Coyote watch-PAST

And Coyote watched.... hulk'ó-a

→ Coyote-PAT snap-move involuntarily-PAST muk-law-et-mil ن

'And Coyote involuntarily moved to snap it.' → and again Coyote-PAT hear-PAST halt-mil. hulk'ó-a saéi rj

'And again Coyote heard it.'

Transitivity is not necessarily marked on the verb. Many of the same verb forms are used for both intransitives and transitives.

- Yuki transitivity: nawh 'look, see, watch' (15)
- window-toc 1sg.AGT see-DECL nqwh-ek. natpit-am ಕ
- F182 T'm looking through the window. nawh-ek. house 18G.AGT see-DECL hạn 2ap Ъ.

'I see the house.'

Agentive systems in core argument marking

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Yuki transitivity: ha?ah 'be, get on, pick up, carry, take, pack' (16)

sahol' Pol-ap ha?ah-ek.

'The eagle is on the tree.' eagle tree-on be-DECL

Carry-DECL ha Pah-ek

Ъ.

F40

He's carrying it in his arms."

12.1.2 Wider genetic relations

Elmendorf 1968, 1981). (Sawyer 1980 notes that at least some resemblances betwee the two may be due to contact.) The languages do show striking similarities in Yuki has been grouped genetically with one other language, Wappo (Radin 1929: ; pronominal shape.

(17) Similarities in some pronoun shapes (Radin 1929: 134, Elmendorf 1981)

	Yuki	4	Wappo	
	Set I	Set II	Set I	Set I Set II
1SG	Рар	\mathcal{H} :	Pah	77.
2SG	mi?	mis	mi?	mi
1EXCL.PL	Pus	Pusą	ísi	ísa
11NCL.PL	mi-/me:	miya/meya		
2PL	mo?os/mos	mo?osiya	mísi	mísa

In Wappo as in Yuki, 3rd person pronouns have been formed from demonstratives a process that apparently took place independently in the two languages, since the demonstrative bases are different. (The 3R pronouns in both languages are used for 3rd person arguments that are coreferential with the subject of their clause or a higher clause.)

3rd person pronouns from different demonstratives (18)

	Set II	te	tsékoto, on-	
Wappo	SetI	$tsep^hi$	tsékoti, óni	me
	Set II	ki?q	kima:są?	kipą
Yuki	Set I	ki?	kima:se	kip
		38G	3PL	3R

But the Wappo pronouns represent subjects and objects, not agents and patients.

(1929: 103) (19) Wappo subject pronoun ah T' (Radin 1924, 1929) 'I divided it' 'I hit it' a- uowatita a-uhót'at:a

(1929: 103) (1929: 32) (1929: 37) 'I jump around' a'-našá'ise

a-teléuše

(1929: 37)	(1929;38)	(1929; 31)	(1924: 16.47)	(1929: 52)	(1929: 52)	(1929: 53)	(1929: 53)
'I'll drink up'	\underline{I} am resting'	' <u>I</u> am sick, I believe'	\underline{I} am hungry	' <u>I</u> was hit'	'I was kicked'	'I was cut'-	' <u>I</u> am being dragged'
a'-monašótelsi	a'-teháwelki	<u>a</u> '-kutšóse	<u>a</u> -hákuše	<u>a</u> omalíhele	<u>a</u> op:6ile	a okétš-šele	a šukálsele

(20) Wappo object pronoun i- 'me' (Radin 1924)

(1924: 16.71) (1924: 22) 'you woke me up' 'Don't pity me' mí'-i-hin:piwal:ta' i-han'tsóyola'

The Yuki and Wappo pronouns are thus similar to each other in shape but not in itance, but that the core argument pattern has shifted since the two languages function. This fact suggests that the pronouns themselves are a common inherdiverged.

12.1.3 The Pomoan family

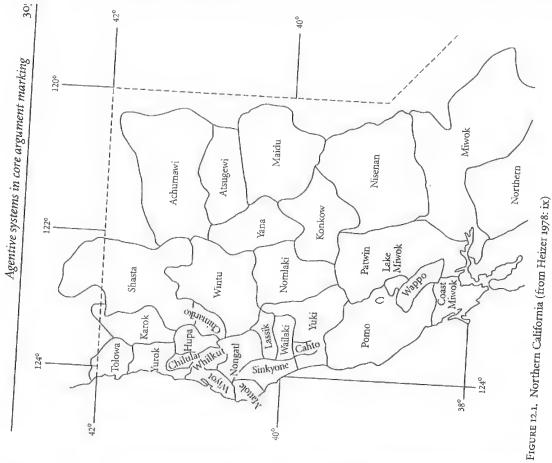
A look at the geographical location of Yuki provides further evidence that core argument categories may not be immune to change. Immediately to the south of Yuki are the Pomoan peoples. Figure 12.1 shows the languages of Northern California. San Francisco Bay appears at the bottom of the map.

The Pomoan family consists of seven mutually unintelligible languages. Each contains three sets of independent pronouns. The inventory of forms in Central Pomo can be seen in (21). Material cited here comes from speakers Frances Jack, Eileen Oropeza, and Florence Paoli (p.c.).

Central Pomo pronouns

Set III	k^he	mk^he	$m\dot{u}$: k^he	tí: 7khe	yá:?khe	máya: ?kʰe	mústu:ya: ?kʰe	tíya:?k ⁿ e
Set II	to:	mţo	mú:ţn	tí:ţo	yal	máyal	mú:tuyal	tíyal
Set I								
	18G	28G	38G	38G.R	1PL	2PL	3PL	3PL.R

person forms are recent developments from the demonstrative mu:1 'that' Other Pomoan languages show different 3rd person pronominal forms. Continuing 3rd As in Yuki, the Set III pronouns represent possessors and obliques. The basic 3rd person topics are not usually re-identified in every clause with a pronoun.



Central Pomo continuing 3rd person topics (Frances Jack, speaker p.c.) 'During this time, (she) picked up the water. such-at this water the hold-inceptive.prv Mé:n-da bal $q^h \acute{a}$?el bé- \check{c} . Mi: bé-m. (22)

there hold-ESSIVE

(She) held (it) there.'

At first glance, Set I pronouns might appear to be subjects and Set II objects.

- Central Pomo independent pronouns (23)
 - ?a: čá-č-

run-SEMELFACTIVE-PFV

'I ran away.'

I him away=chase-PFV ?а: ти́: ұ и ?е́:у=čadi-w. ف

'I chased him away.'

he me away=chase-PFV Mu:l to: ?é:y=čadi-w. ن

'He chased me away.'

Yet as in Yuki, both Set I and Set II pronouns appear where English speakers would use subject pronouns.

Central Pomo intransitives (24)

ಡ

 $\frac{to:}{to:}$ $P^{h}al$ $\underline{1}$ 'm sick' $\underline{to:}$ $ba\check{c}u:$ $\underline{1}$ 'm tired' $\underline{to:}$ $q^{h}\acute{o}t''\underline{a}$ $\underline{1}$ 'm ticklish' Set II to: T Ъ, <u>?a:</u> wág'i?le 'I would go' <u>Pa:</u> phdi:law 'I dove in' 'I got up' Set I ?a: 'I' Pa: sbíč

Set II pronouns are used with states like 'be sick', 'be tired', and 'be ticklish'. The Set I pronouns are used with actions like 'go', 'dive', and 'get up' above, while distribution could suggest an active/stative pattern. Set I pronouns also appear with states, however, and Set II pronouns also appear with events.

(26) Central Pomo Set II with events $\frac{to:}{to:}$ fo:ya $\stackrel{\stackrel{\circ}{\ }}{\underline{to:}}$ fell! $\frac{to:}{to:}$ $f^h a: sn am R^h e \stackrel{\stackrel{\circ}{\ }}{\underline{I}} III \operatorname{drown}$, $\stackrel{\stackrel{\circ}{\ }}{\underline{to:}}$ \overrightarrow{I} got lost' ?a: ?e bane:?t,'aw 'I'm lazy (25)

Both sets appear with intransitives as above, and with transitives, as below, so the system is not ergative. Transitivity is not overtly marked.

- Central Pomo transitives
- 18G.AGT water the hold-INCEPTIVE-PFV q^há Pel bé-č-
- 118G.PAT COP=3.PAT mentally-know-IPFV '<u>I</u> picked up the water.' *to:* ?=mú:ţu ?-yá:q-an. Tremember her. <u>ب</u>

The system distinguishes grammatical agents (Set I) and patients (Set II). Set I pronouns typically refer to participants who instigate, perform, and control events

and states. Usually these three features coincide. When they do not, the feature c control is criterial. A sneezer performs the sneeze but is not in control. Centro Pomo speakers use Set II pronouns for sneezers.

(28) Central Pomo performance without control: Set II

'I sneezed' 'I choked' <u>to:</u> PésPesya <u>to:</u> PtéPčya

'I burped' t?o: té.q'

A few verbs can appear with either set of pronouns. These represent separat lexicalizations. For most verbs, speakers have no choice.

Central Pomo lexical doublets (29)

Pa: smá mtí.č'

'<u>I</u> went to bed' '<u>I</u> must've fallen asleep' to: smá mtí:čka

'I rammed it, ran into it (intentionally)' 'I bumped into it' Pa: č^hném

'I coughed' <u>to:</u> č^hném <u>?a:</u>k'lú:k'luw

'I coughed (involuntary)' to:k'lú:k'luw

Participants are cast as grammatical patients only when they are significantly affected by the situation and the speaker chooses to empathize with the affectedness. Inanimate objects are never referred to with patient forms, nor are most animals. The agent forms are a default choice.

- Central Pomo empathy (30)
- b. Mú:ţu ?a: 35G.AGT 1SG.AGENT kill.PFV 'I killed it.' (a fly) Mu:l

38G.PAT 18G.AGT kill.PFV

'I killed him.' (a person)

Speakers may choose not to express the affectedness of other persons, or even, on occasion, of themselves, when it is not considered relevant.

Some nouns referring to certain people, particularly some kinsmen, have patient case forms. This is reminiscent of the situation in Yuki, where only some animates, generally humans and personified animals, have patient case forms. The shape of the Central Pomo patient case ending is entirely different from that in Yuki, however: -l. Example (31b) below shows that the noun 'woman' does not have a patient case form, but the noun 'wife' does.

- Central Pomo patient case on nouns (Frances Jack, speaker p.c.) (31)
 - my father.in.law-PAT 18G.AGT seek-1PFV Khe bá:thiyiya-l a,
- T'm looking for my father-in-law (PATIENT). Mú:kheť má:ta q'alá:w, míya: Þ.
- 3.Poss woman die 3.KIN.Poss wife-PAT His woman died, his wife (PATIENT).

12.1.4 The Yuki and Pomoan systems

groups, nor is one advanced here. Northern California is a well-known linguistic area, however. Communities were small, and exogamy and multilingualism were the norm. Similarities in shape suggest that Pomoan 1st and 2nd person singular No genetic relationship has ever been suggested between the Yuki and Pomoan pronouns may have been borrowed into Yuki and/or Wappo. Suggestive similarities are underlined below.

(32) Pronominal forms (McLendon 1973, Elmendorf 1981)

mi
mi
mi?
7.
Pah
Wappo

Sawyer and Schlichter (1984; 280) identify the Yuki element - p as HUMAN SINGU-LAR and -s as PATIENT case. Other pronouns show quite different shapes.

though transitivity is not necessarily specified overtly, and in both events and Speakers may opt not to portray affectedness for humans, and it is never specified for non-humans. Both systems are agentive, or more specifically patientive, since the patient case is semantically marked. Third person pronouns, recently formed The Yuki and Pomoan systems are similar in several ways. Both consist of independent pronouns that distinguish grammatical agents, patients, and obliques. Only a few nouns, all referring to persons, carry patient case suffixes. Grammatical agents and grammatical patients appear in both intransitive and transitive clauses, states. The criterial features for case choice are control and significant affectedness. from demonstratives, are usually omitted for continuing topics.

The similarities in the finest details are too close, particularly given their relative ularly without the full paradigms of pronouns that carry it? Because earlier stages of the languages are unattested, we cannot know for certain, but a likely path of rarity cross-linguistically, to be due to chance. It appears that the Pomoan system, which is fully developed in all seven members of the family, was borrowed into Yuki. But how could such a fundamental and abstract system be borrowed, particdevelopment is easy to imagine.

marked for case, and (iv) word order is predicate-final, it would be a simple matter to reanalyse a nominative/accusative system as an agentive one or vice versa. Reanalysis could take place along the lines below. Transitive clauses with In a language in which (i) intransitive and transitive verbs are not distinguished formally, (ii) topical 3rd persons are usually not mentioned, (iii) few nouns are

309 omitted 3rd person subjects could be reanalysed as intransitive, and objects could Agentive systems in core argument marking be reanalysed as grammatical patients.

'It scared me (OBJECT)' > PATIENT INTRANSITIVE VERB 'I (PATIENT) was scared' (SUBJECT) OBJECT TRANSITIVE VERB scared

Both Pomoan and Yuki exhibit all of the features that would facilitate such a reanalysis. It is not impossible that the development of the pattern took place independently in Pomoan and Yuki, but the strong bilingualism in the area and the cross-linguistic rarity of such systems suggest that the parallelism in their systems is due to reanalysis stimulated by contact.

12.2 Northern California II

The Yuki, Wappo, and Pomoan systems involve free pronouns. As independent words, the pronouns would be more accessible to speaker consciousness and analysis than affixes. Agentive patterns are much more commonly found in bound pronominal affixes, however. Agent and patient pronominal affixes appear in another part of Northern California, also known as an especially strong linguistic area characterized by long-standing patterns of intermarriage, cultural borrowing, and multilingualism.

12.2.1 Karuk

At the northern edge of California is the Karuk language, also visible in Figure 12.1 above. It is considered an isolate, though proposals have been made linking it remotely with a variety of other languages and families in a larger group termed Hokan. An early description of the language is in Angulo and Freeland (1931). A fuller grammar with texts is in Bright (1957). Additional texts are in Harrington (1932a, 1932b). Discussion of the pronominal system is in Macaulay (1992, 2000).

Core arguments are specified in Karuk by pronominal prefixes on verbs.

Karuk pronominal prefixes (Bright 1957: 33, 62, 61) 'he/she cooks' 'they cook' 'I cook' kun-ímnis Pu-mniš ní-mniš (34)

First person singular pronominal prefixes distinguish grammatical role.

Karuk grammatical role (Bright 1957: 62, 61) 'he sees me' 'I see him' <u>ná</u>-mmah ní-mmah (35)

The system is more complex than first meets the eye, however. Only one core argument is identified in any verb. The choice of which argument to specify is

based on a person hierarchy. Second person plurals (used elsewhere in California to show respect) are given priority over 1st persons, and 1st persons are given priority over all others: 2PL > 1 > 28G > 3. If a 1st person acts on a 3rd (T see (him)'), or a 3rd person acts on a 1st ('(he) sees me'), only a 1st person prefix appears.

There is evidence of an incipient agentive system. The prefix ni- 'I' appears with both intransitive and transitive verbs and in both events and states.

Karuk 1st person pronominal (Bright 1957: 63, 48, 125, 125, 125, 62) opened my eyes will go back' will take it' I won' ni-ppa:xkê:t ni-yú:phê:n ni-Pi:pmê.š ni-Páxa:viš (36)

The prefix na- appears in transitives like 'he sees \underline{me} ' above. It also appears in some intransitives, as below.

I was afraid of him'

I get angry

ní-xvi:pha

ni-?â:t

Karuk 1st person pronominal (Bright 1957: 62, 250) 'I am lonesome' I am sick 'I bleed' na-sáyri:hva na-kkúha ná-?a:xhi (32)

singular agents, and the prefix na- 1st person singular patients. The appearance of Grammatical role is distinguished only in 1st person singular (where speakers have direct knowledge about control and affectedness and the right to specify it), and Bright points out that use of the na- prefix with verbs like 'be hungry' is Bright (1957: 59) notes that certain Karuk verb stems can appear with either 1st person singular prefix ni- or na-, such as 'be hungry': ni-xxurihi or na-xxurihi 'I am hungry'. Other stems with this option include 2ú:xhi 'bleed', kúhi 'suffer pain, be sick', sayrt:hva 'be lonesome', lafitú:nva 'be jealous', la:θva 'be afraid', laxaska be thin, lose weight, finhi be bald, furábfip be nervous, cranky, fretful, hótahi ikváriš(rih) be tired, imčak burn oneself, get burnt, imčax be hot, imčitátko: 'have one's bones protrude through one's skin, imfrahi 'feel pain, ixrah 'thirst for, ixrup 'have an erection', pakyav 'have good luck with', ?rih 'be unwilling, lazy, tired', and yâ:vahi 'have enough'. The prefix ni- thus represents 1st person na-with event verbs like 'fall asleep' and 'burn oneself' shows that the system is agentive rather than active/stative. The agentive system is only incipient, however. 'be late, be offended, ičnah 'defecate', iknax 'be cross-eyed, ikvi:thi 'fall asleep',

12.2.2 Chimariko

affixes (Mithun, to appear). Only one argument is represented in any verb. The arguments are speech act participants (1st or 2nd person), the agent is given priority over the patient ('I found (you), 'You found (me)'). Material cited here choice of argument is similarly based on a person hierarchy: 1, 2 > 3: that is, 1st and 2nd persons are given priority over 3rd ('I found (her)'). If both core comes from the fieldnotes of J. P. Harrington, organized and analysed by George interestingly, another language isolate in the same area also shows an agentive system, also with a hierarchical overlay. In Chimariko, another isolate visible on the map in Figure 12.1, core arguments are also represented in verbs by pronominal Grekoff.

Chimariko pronominal prefixes (Grekoff, n.d.) he or she was running' he or she hit him/her' you were running' ou hit him/her' 'I was running 'I hit him/her' mi-mumni ?i-mumni hi-mumni ?i-č'ut mi-č'ut hi-č'ut The 1st person singular and plural pronominal affixes have two forms: one for grammatical agents and the other for grammatical patients. Both appear in intransitive and transitive verbs.

Chimariko pronominals Set I (39)

તં

'I dumped (the crawfish)' 'I caught (the crawfish)' 'I made (the water hot)' 'I was running' 'I looked back' I am talking' ?i-winqhutta ?i-s:iyakutni ?i-mumni ?i-xa?yta ?i-c'i?ta 7-K0t

'I am going to starve to death, give out' 'I jerk, I am shaking, twitching' 'I am going to wash away 'I am named, called' 'I am coughing' 'I am afraid' 'I am glad' čhu-sato?muxanan čhu-t'i?niman ćh-akimxanan čhu-čxemum chu-saxnit čhu-šeyin čh-awin Set II ۵,

Set I pronominals typically identify semantic agents in control. Other verbs that appear with Set I prefixes mean 'jump around', 'eat', 'sing', '(man) to get married,'

Other verbs that appear with Set II prefixes mean 'have a rash or irritation,' 'be afraid or fear, flurt or ache, 'be dried or parched, 'cough,' be glad, 'fall, 'give out act with the foot, 'walk draggingly or drag along, 'breathe, 'hide,' stop or turn or be short of breath, 'sneeze,' 'sweat,' 'yawn,' 'twitch (body part),' and 'get well or around, 'swim,' fly, 'raise hand, 'cross (water), and many more. Set II pronominals generally identify a significantly affected participant who is not in control. recover,

circumstances, it is easy to see how reanalysis of a nominative/accusative system as an agentive system (or the reverse) could be triggered by contact. We know that there has long been heavy multilingualism in the area, including among the Chimariko and the Karuk. On the model of the Chimariko system it would be easy for Chimariko-Karuk bilinguals to reinterpret an originally transitive Karuk verb like 'it scares \underline{me} (OBJECT)' as an intransitive '<u>I</u> (PATIENT) am afraid' and the Third persons are not represented in either Karuk or Chimariko when 1st persons are present, and transitivity is not necessarily marked overtly. Under such original object prefix na- as a patient prefix.

There are several other languages in the immediate area that are not related to either Karuk or Chimariko but that show the effects of long-standing intense contact, with multilingualism and intermarriage. Two of them, Wiyot and Yurok, are remotely related to the Algonquian languages in a family called Algic. In all of the Algic languages, core arguments are represented by pronominal affixes on verbs. These languages are known for their hierarchical systems but not for agentive patterns.

The Wiyot pronominal suffixes fall into two sets. The first set are used with verbs such as kámar 'steal food', hutw- 'bring it', lág 'go', dar- 'act', haskáp 'bite a piece, and thahlab- 'talk to me'. These pronominal endings can be seen in the verbs kámar 'I steal food', kámar-at 'you steal food', kámar-il 'he/she steals food', and with intransitive and transitive verbs: ldg-il 'he/she goes', tathánab-il 'he punched kámar-uy 'one steals food' (Teeter 1964: 70–71). The same agent suffixes are used him, $hutw-us-i\underline{t}$ 'he/she brings it for you' (Teeter 1964: 73-4).

The second set of pronominal suffixes are used with verbs such as tiškóhw 'be lohw 'be tall', kwapł 'be covered up', lalál- 'be burned', and tulì 'be wet'. These tiškóhw '<u>he/she</u> is liked' (Teeter 1964: 77). Other verbs cited by Reichard in her 1925 grammar include those with such meanings as 'be willing, 'be bruised,' be cold, be hungry, 'be lazy, dull,' run splinter into body, 'lie,' sleep alone, 'get power,' be a person of large proportions, 'be awkward, clumsy; and be comical' (Reichard liked', lahg- 'be heavy', dotatk 'be a large roundish object', tokwan- 'be slapped', pronominal endings can be seen in tišków-al 'I am liked', tišków-alat 'you are liked', 1925: 76). As can be seen, derived passives occur with this second set of pronominal

Agentive systems in core argument marking

From the descriptions it is not entirely clear whether the system is basically an agent/patient one, an active/stative one, or a mixture. In most situations, the two patterns would yield the same result: one who is burned is both a semantic patient not in control) and in a state. Glosses such as Reichard's 'run splinter into body' a fully formed agentive system, however. Many verbs that would appear with grammatical patients in other languages appear with the first set of pronominals if 'it falls out, drips' (Teeter and Nichols 1993: ii. 230). Furthermore, the forms of the transitive patient pronominals do not generally match those of the intransitive and 'get power' suggest an agent/patient basis. Wiyot does not appear to exhibit mentioned above rather than the second, such as pachol-if 'he/she/it falls' and tolpatients.

12.3 The Northwest Coast

It contains languages from a number of language families, many with members Perhaps the best-known linguistic area in North America is the Northwest Coast. outside of the area. Among the families represented are Tlingit-Eyak-Athabaskan, Haida, Tsimshianic, Salishan, Wakashan, and Chimakuan.

12.3.1 Tlingit: Tlingit-Eyak-Athabaskan family

ically unrelated to any of the languages discussed so far. It is described in Boas The Tlingit language is a member of the Tlingit-Eyak-Athabaskan family, genet-(1917), Swanton (1911a), Story and Naish (1973), and Leer (1991). In all languages of the family, core arguments are identified by pronominal prefixes on verbs. Two 1st person singular pronominal prefixes can be seen below, xa- and xad. (The different orthographies used in the various sources are preserved in material cited here. Some authors describe the pronominals in the xad series as prefixes, others as loosely attached clitics.)

Tlingit pronominal prefixes \dot{x} - and $\dot{x}ad$ - (Leer 1991) 'I always fall down' 'I am washing it' I get sick again' 'they say to me' 'I am walking along' she saw me 'I am kneading it' '<u>I</u> am making it' 'I am fine' I am hot' 'I kill it' xad-yandusqé:ż... xad-kawdusasáÿ $ka-\dot{x}a-\dot{c}i^{*}x^{w}$ xad-ganí:gwž ya'n-xa-gúd xad-wusiti'n xad-Gasgídž xa-Pús'gw xad-ÿak'é: xa-tayé:x xa-žáGx (40)

The pronominals follow an agentive pattern. Both appear where English would show subjects. Both occur in intransitive and transitive verbs. Both occur with events and states. The agent $\underline{x}w$ - $(\underline{x}a$ -) can be seen with events above $(\underline{Y}$ am walking') and with states below $(\underline{Y}$ am sitting comfortably').

(41) Tlingit agent pronominals with states (Story and Naish 1973)

yan sh ka-xw-jix'ákw 'I'm sitting very comfortably, just the way I want to'
nisdàat ka-xw-didútl 'I was doubled up last night (it was so cold)'
ee jik-xw-aakéts 'I'm alert (wondering what you're going to do to me)'
tléi!koo-x-shatéeneen 'I'm sablind (did not have sight)'
kúnax oo-x-dzikaa 'I'm really laxy'
axyéet sa-xw-sit'án 'I'm expecting my son'
sa-xw-dihàa 'I'm sa ready' (to be killed as a clan representative,
to atone for death of an equal on the opposite side)

The patient $\underline{x}at$ ($\underline{x}ad$ -) appears with states as above ($\underline{\underline{Y}}$ m tired out') and events.

'I kept running' (even after reaching the finish line my legs continued to keep moving '<u>I</u> fell flat on my back' '<u>I</u> fell on my knees and skidded along' Tlingit patient pronominals with events (Story and Naish 1973) frequently have hiccups' I am beginning to freeze I'm getting heavier' I'm gaining weight' failed completely' I'm losing weight' I'm going to die' forward fast) woke up 'I yawned' I sobbed' yan <u>xat</u> keey-shakawdligás' kindayígin <u>xat</u> woodzigèet googanáa yaa <u>xat k</u>'ayanadáa<u>x</u> nalt'ix' nalnétl nalxún naldál xat k eiwawáash yadút'kw woodzigít oowakich kawdikèi xatxatxat xatxat xatkat cat /aa kat (42)

Pronominal set is generally lexicalized with each verb. The agent prefix $\underline{x}w$ - $(\underline{x}a$ -) is used in both sentences below, for example, even though the breaking and burning on those occasions was beyond the control or intention of the speaker.

(43) Tlingit non-fluid pronominals (Story and Naish 1973)

ax táax'al'ee ka-xw-aal'eex' ¹ broke my needle'
sakwnéin xw-alit'úch' ¹ l've burned the toast/reduced it to charcoal'
Tlingit is the only language in its family to show agentive patterning in the

ject/object patterning. The pronominals themselves are cognate: the cognates of

pronominal prefixes, however. Of the 37 languages in the family, 36 show sub-

the Tlingit agent prefixes represent subjects in all other languages. They appear in the same position in the verb, immediately before a valency prefix (called the 'classifier') and the stem. In all of the languages, additional prefixes precede the agent/subject markers, most of which are also cognate across the family. Examples of the pronominal prefixes cognate with the Tlingit agent xa- in Eyak and the Athabaskan language Navajo can be seen below. As the examples show, these are subject pronominals, used to represent both semantic agents and patients, in both events and states, and in both intransitives and transitives.

will go around for you (hunting) was whirling around, pirouetting' 'I hauled it up (water from well)' am barely able to get around' go back away from them' 'I removed it, took it away' am going to boat back' went down, descended 'I will give them to you' 'l' (Young et al. 1992) 'I shook it' (a rattle)' I will live with you' 'I tossed them away' will marry you' 'I keep marrying' 'I will show you' I went hunting' I am alive Eyak subject x- [x-] 'I' (Krauss 1982) 'Iwas born' 'I got hurt' [will die I stink' Navajo subject sh- [š-] ix?a' wax?qu'-x-t'uhich' qanuh qu'-x-tah iya: yax?qu'-x-dah $uk'ah q'e'\underline{x}$ -da:k \vec{q} 'e' \vec{q} 'u'- \underline{x} -daqe: i- \underline{x} -'e:k' adah '11-sh-ááh hashi-sh-ch'qaf nahja' ii-sh-té ich' qu'-x-lah ádadii-sh-nih naa-sh-ch'aat shi-sh-chíin li-sh-zhééh iqe^-x -'e: gala-x-tah yi-sh-háád li-<u>sh</u>-kaad náa-sh-bał $qu^2-x-sinh$ ni-sh-chon (45)

Though the vast majority of the languages in the family show subject and object pronominals, the numbers alone do not prove that the nominative/accusative pattern was characteristic of their common parent, because of the internal relationships within the family, sketched in Figure 12.2. There could have been one shift from an original subject-object system between the breakup of Proto-Tlingit-Eyak-Athabaskan and Tlingit, or one shift from an original agentive system to a subject-object system between the breakup of the common parent and that of Eyak-Athabaskan.

Evidence for one scenario comes from a neighbour. The current territory of the Tlingit can be seen in Figure 12.3. As reported by De Laguna, the Tlingit expanded into this area relatively recently, moving from the south northward and inland

316

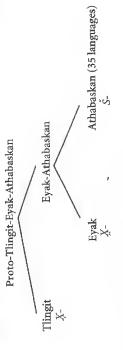


FIGURE 12.2. The Tlingit-Eyak-Athabaskan family

around 1700. The southernmost part of their original territory is now occupied by Haida speakers, though Tlingit town names remain there. De Laguna describes intense contact and intermarriage between the two groups (1990: 213).

paskan bands, usually treating these foreign groups as clans, and ascribing them new crests....Such crests identify Tlingit clans, subclans, and lineages and serve as guides in The Tlingit are known to have absorbed increments of Haidas and Tsimshians, together with ceremonial prerogatives (like the Henya Eagle clan), just as the Tsimshian and Haida have received some Tlingit groups. The Tlingit have also taken in various Eyak and Athaaligning Haida or Tsimshian clans with those of the Tlingit for marriage or potlatching. The intense contact between Tlingit and Haida suggests an explanation for the difference in core argument categories between Tlingit and all other members of its family.

Tlingit

12.3.2 Haida

Haida is generally considered a language isolate, though possible connections to Tlingit-Eyak-Athabaskan have long been the subject of inquiry (Swanton 1905, 1908, 1911b, Lawrence 1977, Levine 1977, Leer 1991, Enrico 2003). Haida pronouns are free. 1st person singular pronouns hl and dii can be seen below.

'I am going to go' 'he looks like me' wasn't hungry I am tired of it' '<u>I</u> look like him warned him' 'he likes <u>me</u>' 'I am afraid' want to' 'I saw Joe' 'I fixed it' Haida 186 hl and dii (Enrico 2003) laa-gingaan<u>dii</u>qeenggaa dii-gingaan 'la qeenggaa hl iij-angqasaa-ang dii 'la gu'laa-gang dii hIrwaaga-ang dii q'ud-ang-gan dii gudang-gang 'laa hlst'ida-gan dii rahgal-gang Joe hlqing-gan hl sral-gan (46)

The Haida pronouns show an agentive pattern. The form hl is used for semantic agents in both intransitives ('go') and transitives ('fix,' see, 'warn'), while the form

Agentive systems in core argument marking 2 80 100 D. 0 Eyak

imshian Haihais

FIGURE 12.3. Northern Northwest Coast of North America, early 19th century (from Suttles Innon iv)

dii is used for those affected in both intransitives ('be afraid,' be hungry') and transitives ('like,' look like,' be tired of something,' want something').

Like the Tlingit pronominals, these do not show an active/stative pattern. The agent pronoun hl is used both with actions like 'fix,' 'see,' 'warn,' and 'go' above, and with states like 'be out' below. (The transcription systems used in the original sources are retained here.)

(47) Haida agent hl with state: Levine (1977: 183)

gway-ay gu lu la la lij-inn-i,
island-the on FOCUS 15G.AGT exist-PAST-old
'I (AGENT) was out on the islands.'

The patient pronoun *dit* is used with stative verbs like 'be afraid,' be tired,' be hungry,' want' and 'resemble' above, as well as with event verbs like 'fall,' bump into,' 'disappear out of sight,' escape destruction,' hiccup,' get mad, and more.

(48) Haida patient dii with event (Lawrence 1977: 180)

K'yuw-áa-st dii dlawii-gan.
trail-the-from 18G.PAT fall-PAST
'I (PATIENT) fell off the walk'

Because pronoun choice is semantically rather than syntactically based, transitive clauses may contain two grammatical patient arguments.

(49) Haida double-patient transitives (Lawrence 1977; 77, 147)

a. dáng díi kuyáadaang. b. dáng díi guláagang.
2sG.PAT 1SG.PAT 1SG.PAT 1 like
' I (PATIENT) love you (PATIENT).' (PATIENT) like you (PATIENT).'

Argument categories are generally learned with each verb, rather than selected as speakers speak. Sometimes the pronominal category that appears with a particular verb seems at first surprising, until the original or literal meaning of the lexical item is uncovered. The verb 'believe' occurs with a grammatical agent, for example, and the verb 'go somewhere else' occurs with a grammatical patient.

(50) Haida lexicalization

yahda 'believe' AGENT

literally 'make true for oneself' (Levine 1977: 135)

gadas 'go to live somewhere else' PATIENT

originally 'come off, ricochet off' (Enrico 2003: 95)

A few verbs have been lexicalized with both sets of pronouns. The verb gwaawa/gwaawu, for example, means 'refuse' with an agent pronoun but 'not want' with a patient pronoun. Enrico notes that the verb gudrad is usually used with a patient pronoun to mean 'remember', but it can be used with an agent pronoun to indicate effort, as in 'OK, I'll try to remember'. A few verbs are used

by some speakers with agent pronouns and by others with patient pronouns, with little change in meaning, such as 'sneeze,' 'vomit,' and 'vomit up,' verbs that involve performance but not control (Enrico 2003). There is also some systematic dialect variation in pronominal category.

(51) Haida dialect variation Enrico (2003: 93, 102)

AGENT	AGENT OF PATIENT	AGENT OF PATIENT	AGENT	PATIENT	BIAGIST NO BIAGO
7	Skidegate A	7	Skidegate	Masset	Cl.: 0.00+0
'cough'		'belch'		'have diarrhea'	
q'usahlda		g'anda		sk'al.aaw	

As in some other languages, the agent/patient distinction does not run through the entire pronominal paradigm. Enrico (2003) notes that all dialects show it in 1st person pronominals: again, speakers are best able to specify their own control and affectedness. For 2nd person singulars, the Skidegate dialect to the south shows the distinction in all pronominals, but the Masset dialect to the north shows it only in non-clitics. For 3rd person singulars, Skidegate shows the distinction in topical clitics. The distinction does not appear in the remaining pronominals.

12.3.3 A mechanism for the Tlingit shift

Since the only language of the Tlingit-Eyak-Athabaskan family to show agentive patterning (Tlingit) is spoken in an area immediately adjacent to another language with agentive patterning (Haida), and since there is documentation of extensive contact between the two, it appears that Tlingit reanalysed its original nominative/accusative pattern as an agentive one under the influence of Haida. As in Yuki and Pomoan, basic 3rd persons were apparently not specified pronominally in Proto-Tlingit-Eyak-Athabaskan (Krauss 1965). The 3rd person gap in the Tlingit paradigm below is matched in the other languages in the family.

ya-gòot go

ya-gòot go

woo-xa-ya-gòot > xwaagòot 'I went'

woo-ee-ya-gòot > yeegòot 'You went'

woo- -ya-gòot > woogòot '(he/she) went'

(More recently, 3rd person object markers have developed in certain contexts in the various languages, from different sources.) The 3rd person gap alone could provide the conditions for a reanalysis of transitive clauses as intransitives, and objects as grammatical patients ('It makes me sick' > 'I get sick'), providing transitivity was not overtly marked. But unlike Yuki and Pomoan, Proto-Tlingit-Eyak-Athabaskan did contain overt markers of argument structure in every verb, markers which persist in all daughters.

sifier, possibly preceded by one or more thematic prefixes. The meanings of In all languages in the Tlingit-Eyak-Athabaskan family, lexical entries for verbs verb themes may or may not be predictable from their parts. Some samples are called verb themes) consist of a stem, preceded by what is termed a 'clas-

Tlingit verb themes (Story and Naish 1973)

jaakw 'beat up, assault, attack violently' CLASSIFIER- STEM ya-

'be slushy' dookTHEME- CLASSIFIER- STEM shika-Ъ,

'lean (against something)' gaan THEME- THEME- CLASSIFIER- STEM dlikasha-ن

ka-'surface' sha-'head'

Traces can be seen in some Tlingit verb themes of a classifying function for the classifier prefixes.

Classificatory function in Tlingit (Story and Naish 1973) (54)

'swim on surface of water (human or animal), wade' 'swim on surface of water (bird)' va-hoo si-hoo

'tow, usually by boat' ya-xaach

'tow, usually by boat' (especially a large object) li-xaach

ern languages. Verb themes can be grouped the basis of their argument structure: The classifier prefixes more often serve a more grammatical function in the modwhether they require an agent, a patient, both, or neither.

Tlingit verb theme categories (Story and Naish 1973) (55)

up, assault' beat Agent/patient transitives ya-jaakw

'advise' shu-ka-ya-jaa ya-dli-gwaash Agent intransitives Ъ.

sha-ka-dli-gaan 'Iean (against something)' ya-naa 'die' 'be lonesome' to dawn' kee-ya.aa уа-паа li-teesh Patient intransitives Impersonals ď. ن

'be slushy'

ka-shi-dook

Differences in argument structure tend to correlate with the classifier prefix. The with the classifier ya-, requires only one core argument, an agent. The transitive si..aat 'cause to go out', with the classifier si-, requires two core arguments, an agent and a patient. (In the examples below, the verb stem with classifier is listed first two verbs below are based on the stem .aat. The intransitive ya-.aat 'go in', first, followed by a full illustrative clause.)

Agentive systems in core argument marking

AGT, PAT AGT, PAT AGT, PAT AGT, PAT AGT PAT PAT Tlingit argument structure alternations (Story and Naish 1973: 377) neil wootoosei.át 'we made them [the children] go in' 'he slid it [the tree] into the water' 'the potatoes are cooked' 'he cooked the potatoes' 'it slid into the water' neil wootoowa.át 'we went indoors' he chased us' we ran away' k'úns' oowa.ée ya-leet héen-toowalít k'úns' awsi.ée héen-t awlilít di-keil' haa wdikéil' li-keil' haa wlikéil' ya-.aat si-.aat li-leet (29)

But the system is far from regular. The verb stems below are all based on the root haa with the prefix ka- 'surface, on', but the semantics are not transparent.

AGT, PAT AGT, PAT AGT, RFL PAT PAT Tlingit argument structure alternations (Story and Naish 1973: 273) 'sneak away, cause oneself to disappear 'disappear, especially turn into vapor, 'move (esp indefinite motion), be by moving gradually out of sight' 'cause to disappear mysteriously' invisible, move invisibly 'bury, excavate' cease to exist' ka-ya-haa ka-dli-haa ka-di-haa ka-si-haa ka-li-haa (23)

The same classifier prefix appears in verbs with different argument structures.

Tlingit same classifier, different argument structures (Story and Naish 1973) AGT, PAT PAT AGT PAT AGT PAT PAT 'carry, take (usually long, complex object), lay' 'be forbidden, taboo, not allowed by custom' 'swim' (human using strokes to slap water) 'call out to, shout to, holler at, invite' 'be slippery' (oil, ice, wet rocks, etc.) 'fall' (of natural precipitation) growl (bears), cry out, shout' 'abstain from, refrain from' 'cry for, ask for' 'clap' (hands) 'iron clothes' 'keep crying' 'inherit' ka-shi-x'eel' ka-shi- \underline{x} 'eel" dzi-gaaxdzi-gaaxdi-t'aach di-t'aach va-.eex /a-.eex ya-naa si-taan li-gaas li-gaas va-naa si-taan (28)

Different classifiers appear in verbs with the same argument structure.

Agentive systems in core argument marking

AGT, PAT Tlingit different classifiers, same argument structure (Story and Naish 1973) AGT, PAT AGT, PAT AGT, PAT AGT, PAT AGT, PAT di-<u>xeet</u> 'multiply, increase in numbers, produce young, breed' dzi-xeet 'multiply, increase in numbers, produce young, breed' si-xoot' 'drag, pull; haul, transport, convey' (by motor power) ya-xoot' 'drag, esp light object or solid, stiff object, pull' 'drag' (especially tail, of fish) 'poke, prod, pole' (canoe) 'spear, prod, poke, jab at' 'spear, prod, poke, jab at' li-taak ya-taak si-taak li-xoot, (65)

The classifiers were clearly part of the common parent language, since reflexes of them appear in all members of the family, but their functions have apparently become less transparent over time, as they have become part of lexicalized verbs that have continued to undergo semantic changes of their own.

Story and Naish (1973) and Leer (1991) point out that the classifiers can be arranged in four series, the ya-, si-, li-, and shi- series. All series appear with both intransitives and transitives, though verbs with ya- series classifiers are more often intransitive, and those with si- and li- classifiers are more often transitive.

(60) Tlingit classifiers (Story and Naish 1973: 368)

					(rarer
	-identifiable	da-	S-	-1	sh-
ナン	+identifiable	di-	dzi-	dli-	-1[
	-identifiable	-0	sa-	la-	sha-
<u>ا</u> ا	+identifiable	ya-	-15	-17	shi-

tures. Those identified as +identifiable are used with identifiable times and participants. Those identified as +D show traces of an old element d, which does not always show up as such but which has left phonological traces such as voicing, The four prefix forms in each series are distinguished by two cross-cutting feacalled the D-effect. The +D classifiers appear to have functioned as detransitivizers. They appear across the family in middles.

(61) Tlingit +D middles (Storv and Naish 1072)

yaoos' 'wash (general)' yaoos' 'take a bath' si-geet 'wake up, rouse from sleep (with kei up)' Azi-geet 'fall (live creature), loose oneself, be lost' li-hoon 'go selling, peddle, hawk' Ali-hoon 'go shopping'		AGT, PAT	AGT) AGT, PAT	t' PAT	AGT, PAT	AGT
yaoos' \rightarrow dioos' \Rightarrow dzi-geet \Rightarrow dzi-geet \Rightarrow dzi-hoon \Rightarrow dli-hoon	madica (Olot) and that the table	'wash (general)'	'take a bath'	'wake up, rouse from sleep (with kei up)'	'fall (live creature), loose oneself, be los	'go selling, peddle, hawk'	'go shopping'
	i Carangiini	ya00s'	\rightarrow dioos'	si-geet	\rightarrow dzi-geet	li-hoon	$\rightarrow dli$ -hoon

But modern +D verbs can vary in their argument structures. Some require agents, some patients, some both, and some neither.

AGT	AGT, PAT	AGT	PAT AGT, PAT	AGT PAT AGT, PAT
(62) Tlingit +D verbs with varying argument structures di-haan stand up' di-z'eiz' 'be burned (of flesh, skin), become shriveled di too 'hit of the dish's kin), become shriveled di too 'hit of the dish's kin), become shriveled di too	yut on (snirt, dress, etc.)' 'storm, be stormy, rough (of weather)'	give final pronouncement of one's opinion and be quiet	'fall' '(medicine man) ask for more (payment) for service'	'stretch out legs; step, place one's foot' 'be fat' 'snare'
Tlingit +D ve di-haan di- \vec{x} 'ei \vec{x} '	a-ya-di-tee	\underline{x} 'a-dzi-dook	dzi-geet dzi-ei	ka-dli-yaas' dli-neitl dli-daas'
(62)				

The Tlingit-Eyak-Athabaskan languages have no passives, but they do contain generic/indefinite pronominal categories, sometimes called the 4th person, meaning 'one' or 'someone'. This category can be traced back to their common parent: the Tlingit indefinite agent doo- is cognate with the indefinite subjects in the other languages.

ka-ya-teey va-gwaal va-at 'they (indefinite) went back' (63) Tlingit indefinite agent doo- (Story and Naish 1973) they carve with an adze' 'somebody hit me' xat woo-doo-wa-gwál \underline{x} 'aa teen ka-doo-téey kuxwoo-doo-wa-.át

Interestingly, all Tlingit 4th person verbs now require +D (detransitive) classifiers in place of their usual si, li-, or shi- classifiers.

ka-shi-xeet ka-ji-xeet dzi-.ee 51-.66 Tlingit indefinite agent with +D classifiers (Story and Naish 1973) 'they (indefinite) (doo-) wrote a letter' 'they (indefinite) (doo-) cooked rice' 'we (too-) wrote a letter' 'we (too-) cooked rice' kóox woo-doo-dzi-.ée x'úx' kaw-too-shi-xít x'úx' kaw-doo-ji-xít kóox woo-too-si-.ée

These doo- constructions have apparently been reinterpreted as functional equivalents of passives, and coincidentally as formal intransitives.

Tlingit passive equivalents (Story and Naish 1973) (65)

li-shaat 'capture' 18G.PAT PFV-INDEFINITE-DETRANSITIVIZER-CAPTUTE woo-doo-dli-shaat woodoodlishaat xat xat

yát-x+ li-yeix 'adopt' 'People adopted me (OBJECT) > I (PATIENT) was adopted.' They captured me (object) > I (Patient) was captured. yát-x xat woodoodliyéx Ď.

sh déi shi-k'aan 'hate' 'People dislike me (Object) > I (Patient) am disliked.' sh déin <u>x</u>at kawdoojik'án

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Marianne Mithun

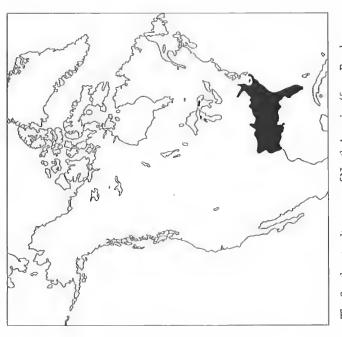


FIGURE 12.4. The Southeast culture area of North America (from Fogelson 2004: iii)

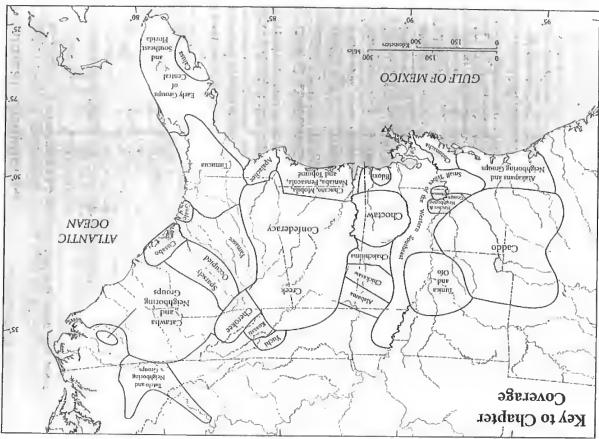
The reinterpretation of the indefinite subject verbs as intransitives without specified agents could set the stage for the reanalysis of objects as patients.

12.4 The Southeast

The other North American languages with agentive systems are not scattered randomly over the continent. Many were located in the Southeast at contact, the area visible in the darkened area in Figure 12.4. In fact all attested languages in the Southeast north of Timucua in south Florida show agentive systems. These include all the languages of the Muskogean family and the isolates Chitimacha, Tunica, Natchez, and Atakapa. Their locations can be seen in Figure 12.5. No speakers remain of any of the isolates.

12.4.1 The Muskogean family

The Muskogean languages are Creek, Mikasuki-Hitchiti, Apalachee, Koasati, Alabama, and Choctaw-Chickasaw. In all of them, core arguments are identified by pronominal affixes in verbs.



Creek agent pronominal suffix -éy T' (Martin 2000: 379) 'I am hitting (it/him/her)' I see (it/him/her) 'I think / I want it' 'I am hitting you' <u>I</u> am running 'I am sitting' ci-na:fk-éy-s kô:m-ey-s na:fk-éy-s li:tk-éy-s lêyk-e<u>y</u>-s hî:c-ey-s (99)

Creek patient pronominal prefix: ca- 'I, me' (Martin 2000: 379) '(S/he) is hitting me 'Are you hitting me?' (S/he) sees me' 'I am hungry' I can see I need it' ca-na:fk-íck-a' <u>ca</u>-na:fk-ís ca-láw-i:-s ca-hic-i:-s <u>ca-yá:-i:s</u> ca-hî:c-is (67)

The pronominal affixes show an agentive pattern. Both grammatical agents and tivity is not marked overtly. As in the languages seen earlier, the system is based on semantic role rather than aspect or Aktionsart. Agent pronominals appear with grammatical patients appear in both intransitive and transitive verbs, but transiboth events and states, as do patient pronominals.

Creek agent pronominals with states (Martin 2004: 138, 140) I am wearing it (have put it on)' 'I'm standing (have stood up)' 'I'm sitting (have sat down)' I seen it (have looked at it)' 'I'm lying (have lain down)' I know (have learned) I'm being quiet' I'm kneeling' T'm hanging' I'm sleeping' 'I'm resting' awóleyc-éy-s tóhki:k-éy-s fi:ka:p-éy-s ata:łk-éy-s wâ:kk-ey-s lêyk-ey-s no:c-éy-s hôt-ey-s kî:4-ey-s hî:c-ey-s à:cc-ey-s (89)

Creek patient pronominals with events (Martin 2004: 138, 139) 'I am talking in my sleep' am hiccupping' 'I am dreaming' am yawning' am shaking' 'I fell' <u>ca</u>-cókna:h-ís ca-híko:kk-ís <u>ca</u>-nóca:y-ís <u>ca</u>-latêyk-s acá-poyc-ís <u>ca</u>-fiki:k-is (69)

As in the languages seen earlier, both sets of pronominals appear in both intransitives, as above, and transitives, as above and below.

Agentive systems in core argument marking Creek transitives with patient pronominals (Martin 2004: 140) <u>R</u>

For the most part, the choice of pronominal paradigm is lexicalized with each ' $\underline{\underline{I}}$ forget water' 'I want water' ó:wa-n <u>ca</u>-hos-î:t-tó:-s ó:wa-n <u>ca</u>-yá:c-i:s

Some Creek alternatives (Martin 2004: 139) (7)

verb. Some verb stems have been lexicalized with both sets.

(more usual) (more usual) 'I'm urinating' AGT ca-hákti:sk-ís 'I'm sneezing' PAT T'm sneezing' AGT hákti:sk-éy-s hosi:1-éy-s ė, <u>ب</u>

(unable to control it) (more usual) <u>T</u>'m urinating' PAT [m running' AGT ca-hósi:l-ís li:tk-éy-s ن

'I'm running' PAT (out of control downhill) (requested)

Third persons are not overtly marked.

ca-li:tk-is

((S/he) is hitting' (no mark in third person) Creek zero 3rd persons (Martin 2004: 135, 136) (s/he) is hitting (him/her)? (s/he) is hitting you' (s/he) is hitting me (he/she) is sick? you are hitting? I am hitting' you are sick be, get sick' 'I am sick' ci-nókk-i:-s na:fk-íck-is ca-nokk-i:s i)nókk-i:-s ca-na:fk-ís ci-na:fk-ís na:fk-éy-s na:--fk-is na:fk-ís inokknafk-(72)

All contain pronominal affixes that distinguish grammatical agents and patients, all show zero 3rd person forms, and none marks transitivity. Nouns are marked for case, but the case markers follow a nominative/non-nominative All of these features can be reconstructed for Proto-Muskogean (Booker 1980). pattern.

12.4.2 Atakapa isolate

Atakapa, a language isolate spoken in the same area, also shows an agentive pattern in its pronominal affixes on verbs, though the actual forms of the affixes are different from those in the Muskogean languages. The 1st person singular agent -ō appears in both intransitives ('travel, 'dance, 'play') and transitives ('wash, 'mock, see'), and in both events ('enter') and states ('be chief').

'<u>I</u> go dancing, will go dancing Atakapa agent suffix $-\bar{o}$ T' (Swanton 1929: 125–6) 'I wake somebody up' 'I am mocking you' 'I enter the house' 'I shall be chief? I wash a shirt' 'I see them' 'I travel' 'I chew' <u>I</u> play' ōkōtkōuc patsem-ō na iwē uckint-ō wan puxkint-ō wi hattsickic-ō wic ankamc-ō añot inik-ō wi cakits-ō cakhu-ō tsak'c-ō want-ō (73)

The first person patient prefix hi-also appears in both intransitives ('be tired', 'be strong') and transitives ('bother', 'beat,' pinch'; 'be afraid of', 'hate'), and in both events ('kill, 'fall,' get pushed') and states ('be sick,' be lying').

Atakapa patient prefix (h)i- T, me' (Swanton 1929) (74)

'I am bent, I am laid or lying' 'They seized and beat me' 'Give me a little meat!' 'I was afraid of him' 'Don't bother me!' 'I am tired or lazy' 'You'll pinch me' 'I shall be strong' 'I have dreamed' 'I hate this man' 'Don't kill mel' 'I get pushed' am sick' 'I fell' icak hi-yananian hi-kuckict haxc wi hi-makawet kō-hi-pamulet al atna <u>hi</u>-mic na hi-tsūmta wichi-laktikit hi-nimahaxc icak hi-imilc hi-walcat hi-tsañct hi-cōkec hi-lakc hi-tixt

original objects as grammatical patients. Alternatively, original transitive clauses Swanton points out that there is an indefinite 3rd person pronominal (h)i-that tive'); koī hi-tēū 'one who likes to talk'. Second and 3rd person singular agents are zero 3rd person agents could have been interpreted as intransitives, and their with indefinite subjects could have been reinterpreted as intransitives, and the has the same form in all uses as the 1st person singular patient (Swanton's 'objeczero. Both facts suggest ways by which the agentive system could have developed out of a nominative/accusative one. As in Yuki, original transitive clauses with indefinite prefix hi- reinterpreted as a patient pronominal.

12.4.3 Chitimacha isolate

A second isolate originally spoken in the area, Chitimacha, also shows an agentive pattern. The 1st person singular agent is -k and the patient is -ki. Both appear

in intransitives ('die,' 'feel cold') and transitives ('beat'), with both events ('make sick') and states ('feel cold'). Second and 3rd persons are unmarked on verbs.

'(he) beat me' Chitimacha agentive patterning (Swadesh 1946: 326)

'(he) made me sick' (he) told me ni:kpa-ki natma-ki get-ki "(he) beat (him)" 'I beat (him)' $geti-\underline{k}$ geti

Teel cold? dadiwa-kí

'if <u>I</u> die' nu:p-ki-ču:š Other verbs that appear with patient suffixes include kap asise- 'to become wearied, kap agihte- 'to be greedy', wokt- 'to taste, feel', teki- 'to suffer pain', sa'- 'to sleep or fall asleep, and ga:ste- 'to shiver'.

Examples of the suffixes in use can be seen in the text excerpt below, transcribed and translated by Swanton and analysed by Kimball (1992).

Chitimacha (Speaker Benjamin Paul)

o:ksni-ču-k čuy-k we 'ašant'a-nk hup hi

the old.man-by to thither go.sg-<u>1sg.AGT</u> steal-FUTURE-<u>1sg.AGT</u>

[I'll go to the old man and I'll steal it.

Then (he) watched the old man, seized a piece of wood having fire, thither grab c'isn tep hamčmiš hi then the old man watch wood piece fire have wetkš we 'asant'i hečt šuš

kun-huk'u nuhč-i

HEARSAY-it.is run-Aorist

and ran....

who 3 not know-participlal be know beinot-aorist-1sg.agt ši"i. ka:kwi k'ay-i-k u:č hiš k'an ka:kw-i:'

Still no one knows. I do not know.

k'an ši-ki šik-ki o:nak ni huyk'iš 'am

I am not forgetting all good things. I have not forgotten everything yet.' good something all PREVERB forget-1SG.PAT not be-1SG.PAT

A reanalysis of transitives with zero subjects as intransitives could have occurred.

12.4.4 Tunica isolate

inal affixes. One set of affixes is used for agents of both intransitives and A fourth isolate in the area also shows a three-way distinction in its pronomtransitives.

Tunica agent prefix 2a- 'I' (Haas 1946: 366) 'I hit him' Puhpéhk<u>?a</u>-hkiní Pá-sani (77)

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A second set, also used for inalienable possessors, is used for intransitive patients.

Tunica intransitive patient 'i T' (Haas 1946: 366) 'I am angry 'I am glad' i-š?épa í-vaší

A third set, also used for alienable possessors, is used for transitive patients.

Tunica transitive patients 'thk--'me' (Haas 1946: 366) he has hit me 'he kicked me' ihk-'éh'uhki ih-pékuhki (62)

sonal 'refers to a non-realist or nameless entity which can never be substantially Masculine and impersonal genders are distinguished in the 3rd person. The imperexpressed within the sentence' (Haas 1941: 56-7)

become-IMPERSONAL.AGT-SUBORDINATE (80) Impersonal (Haas 1941: 57) 'when it got dark' šihtuna ya-ti-hč dark

The impersonal is also used for feminine persons. In addition, as in many languages, impersonal constructions are used much like passives in other languages to background an agent.

Impersonal with passive effect (Haas 1941: 59) 'one cannot find him' = 'he cannot be found' 3M.SG.PAT-find-IMPERSONAL.AGT-cannot-be inh-chc'stut'-it-inèw-shu ínc'hc'hutšitinèwu'

Haas notes furthermore that transitive clauses with impersonal agents were used as alternate forms for involuntary actions (1941: 59):

that stems denoting involuntary action (e.g., 'to breathe'; 'to cough') were formerly used as transimpersonals. The more usual procedure now is to treat In addition to the types of transimpersonals discussed above there is evidence such stems as intransitives. Note the following pairs of words given by the informant as equivalents:

'I am breathing' 'I am coughing' 'I am sweating' he is panting' Intransitive or héhakaní úhukaní 'ówikaní or léhukú o or Transimpersonal ihk'ówikatí ihk'úhaktí 'ihéhakatí uléhukati In addition, there is one stem denoting an involuntary action which is always transimpersonal, viz. htyu 'to wake up', as in 'ihiy'əkt 'I woke up'. The 'transimpersonal' forms contain the impersonal agent suffix -ti and the 1st person transitive patient prefix ih(k)-.

12.4.5 Natchez isolate

The fourth isolate in the Gulf region, Natchez, also shows an agentive pattern in its pronominal affixes. Both the 1st person singular agent prefix t- and the 1st person patient prefix ni- appear in intransitives and transitives, and in events and states.

Natchez patient prefix ni- 'I, me' (Haas p.c. 1982, Kimball 2005: 441) deer-ARTICLEbe.tired.of-PAST-1SG.PAT-AUX-MOD Natchez agent prefix t- 'I' (Haas p.c. 1982) 'he is sticking me' 'I'm going around it' $^{2}:M-^{2}$ - $n^{i-}w$ -a. 'I will lose' ?:Minu: ?a. 'I win' та:сор-е:-п-и: cakna-nı-la:n toy-t-awa:n kip-t-ewa ca:-ya (82) (83)

afraid, 'be happy', 'be excited,' 'be hungry', 'be intoxicated,' be cold,' 'be thirsty,' 'be tired, 'be old'. Verbs with grammatical patients corresponding to English subjects Additional verbs with patient prefixes have such meanings as 'be ashamed', 'be are conjugated with auxiliaries inflected for tense in the zero form used for 3rd

'I am tired of deer meat.'

12.4.6 The Southeast

other. The Muskogean family forms an uncontroversial genetic unit, but the status of the isolates Atakapa, Chitimacha, Tunica, and Natchez is much less clear. The comparable to that of Proto-Indo-European (Brinton 1873, Swanton 1907, Haas 1956). Haas commented (p.c. 1989) that if there are any relationships among the languages in the area, the closest to the Muskogean family would be Natchez, four isolates are sometimes referred to as 'the Gulf languages', but primarily on the basis of their location along the Gulf of Louisiana. It has been hypothesized that Natchez might be related to the Muskogean family as a whole at a time depth followed by Tunica, then Atakapa, and finally, though questionably, Chitimacha, but she made it clear that any such relationships were too remote to be established The languages indigenous to the Southeast are not demonstrably related to each with certainty.

guage, it is striking that all show agentive patterns. All of the languages also show just the features that could foster the reanalysis of nominative/accusative systems as agentive systems and vice versa. All contain zero 3rd person agent affixes, indefinite pronominal agent affixes, or both. None shows a formal distinction between intransitive and transitive verbs. Under these conditions it would Though the shapes of the pronominal affixes vary from language to lanbe easy to reanalyse original transitive clauses with omitted or impersonal 3rd person agents as intransitives, and original object pronominals as grammatical patients: '(X) scares me (OBJECT)' or 'Something scares me (OBJECT)' > (PATIENT)am scared?

sive multilingualism. This situation, along with the fact that all of the languages contain the structures that would facilitate the reanalysis of accusative patterns as guages do vary in the proportions of verbs that appear with patient pronominals, The Southeast is well known as a strong linguistic area, one that shows the agentive patterns, suggests that such reanalysis was triggered by contact. The lansuggesting that the pattern is less deeply established in some of the isolates, but it is not clear where the agentive pattern may have originated. The pattern may even effects of long-standing, intense contact across language boundaries and pervahave originated outside this group.

Georgia until 1836-40, when speakers were forced to move to Oklahoma. It shows an active-stative pattern (Linn 2001). It is not unlikely that this system developed Several other large language families are also represented in the Southeast, in the Caddoan family (Caddo), and the Siouan-Catawba family (Ofo, Biloxi, and Tutelo). As noted at the outset, all three of these families show well-established agentive patterning in their pronominal affixes (Mithun 1991). All three families west over Great Plains, and north of the modern Canadian border. The isolate, Euchee (Yuchi), generally thought to be related to Siouan-Catawba, was spoken in covered a wide area at contact, extending throughout the Eastern Woodlands, from an earlier agentive system, since the vast majority of actions involve agents, particular the Iroquoian family (Cherokee, Tuscarora, Nottoway, and Meherrin), and the vast majority of states involve patients.

12.5 Conclusions

genetic relationship as once thought. We are learning more all the time about the processes by which systems of one type can develop into those of another. Here areal distribution in North America, occurring in three of the strongest linguistic areas, California, the Northwest Coast, and the Southeast. It is easy to see the mechanisms by which agentive patterning could be transferred through contact, even when the markers that carry it, usually pronominals, are not transferred themselves. In situations where pronominal reference to topical 3rd persons is usually omitted, and there is no overt marker of transitivity, it would be easy to reinterpret transitive clauses like '(It) is hurting me' as intransitives like 'I am in pain, and object markers as grammatical patient markers. Nearly all of the Parallelism in core argument categories may not be as good a diagnostic of deep we have seen that the distribution of agentive patterns shows an extremely strong languages seen here show just these features.

A second mechanism could also result in such a reanalysis. In languages with an indefinite or generic pronominal category ('one', 'someone', 'something'), transitive clauses with indefinite subjects are often used in ways similar to passives in other languages, as a means of backgrounding agents. When such usage becomes

the areal distribution of the agentive systems in North America strongly suggests that bilingualism in another language which already had such a system could well established and the indefinite subjects are fully backgrounded, the clauses may be reinterpreted as intransitives, as in Tlingit and Atakapa. Both of these processes could occur spontaneously in languages under the right conditions, but Agentive systems in core argument marking provide a strong stimulus for the reanalysis.

on Chol, Holton (this volume) on North Halmaheran languages, and Malchukov (this volume) on a variety of languages in North America and New Guinea are ual languages, the better we will be able to uncover the internally and externally triggered mechanisms by which they can develop and change. Work by Harris The more we learn about the genetic and areal distributions of core argument (1985) on Kartvelian, Aldai (this volume) on Basque, Gutiérrez and Zavala (2005) patterns cross-linguistically, and about the precise patterns to be found in individalready contributing to our understanding of such mechanisms.

The Typology of Semantic Alignment

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